

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

17302 U.S. PTO
10/611511
07/01/03
**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

03871-P0001B

First Inventor

John A. Rotondo

Title Remote Thermostat For Room Air Conditioner

Express Mail Label No.

EL574206925US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status
See 37 CFR 1.27.

3. Specification [Total Pages 24]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure

4. Drawings(s) (35 USC 113) [Total Sheets 4]

5. Oath or Declaration [Total Pages 2]

- a. New executed (original or copy)
- b. Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

Commissioner for Patents
ADDRESS TO: Mail Stop Patent Application
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other.....

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part

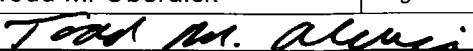
of prior application No.: 60/396,215

Prior application information: Examiner Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS Customer Number of Bar Code Label Correspondence address below

| | | | |
|---------|--|-----------|--------------|
| Name | Stanley H. Lieberstein | | |
| Address | St.Ongé Steward Johnston & Reens LLC 986 Bedford Street | | |
| City | Stamford | State | CT |
| Country | United States | Telephone | 203 324-6155 |
| | | Zip Code | 06905-5619 |
| | | Fax | 203 327-1096 |

| | | | |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Todd M. Oberdick | Registration No. (Attorney/Agent) | 44,268 |
| Signature |  | | |
| | Date 7/1/03 | | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop CPA; Commissioner for Patents; P.O. Box 1450; Alexandria, VA 22313-1450.

17581
07/01/03
U.S. PTO

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT **(\$)** 375.00 **Attorney Docket Number** 03871-P0001B SHL/TMO

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number **19-4516**

Deposit Account Name **St.Onge Steward Johnston & Reens LLC**

Charge Any Additional Fee Required
Under CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27

2. **Payment Enclosed:**

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Entity Fee (\$) | Small Entity Fee Code (\$) | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------------|-----------------|----------------------------|-----------------|------------------------|----------|
| 101 | 750 | 201 | 375 | Utility filing fee | 375.00 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 520 | 207 | 260 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | (\$) | | 375.00 | |

2. EXTRA CLAIMS FEES

| Total Claims | -20** = | Extra Claims | Fee from Below | Fee Paid |
|--------------|---------|--------------|----------------|----------|
| 15 | -20** = | 0 | 9.00 | 0.00 |

| Independent Claims | -3** = | Extra Claims | Fee from Below | Fee Paid |
|--------------------|--------|--------------|----------------|----------|
| 3 | -3** = | 0 | 18.00 | 0.00 |

| Multiple Dependent Large Entity Fee Code (\$) | Entity Fee (\$) | Small Entity Fee Code (\$) | Entity Fee (\$) | Fee Description |
|---|-----------------|----------------------------|-----------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 104 | 280 | 204 | 140 | Multiple dependent claims, if not paid |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent |

** or number previously paid, if greater; For Reissues, see above

SUBTOTAL (2) **(\$)** 0.00

Complete if Known

Application No.

Filing Date

First Named Inventor

John A. Rotondo

Examiner Name

Group Art Unit

Fee Description

Fee Paid

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Entity Fee (\$) | Small Entity Fee Code (\$) | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------------|-----------------|----------------------------|-----------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge – late filing for or oath | |
| 127 | 50 | 227 | 25 | Surcharge – late provisional filing or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840 | Requesting publication of SIR after Examiner Action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 410 | 216 | 205 | Extension for reply within second month | |
| 117 | 930 | 217 | 465 | Extension for reply within third month | |
| 118 | 1,450 | 218 | 725 | Extension for reply within fourth month | |
| 128 | 1,970 | 228 | 985 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,300 | 241 | 650 | Petition to revive – unintentional | |
| 142 | 1,300 | 242 | 650 | Utility issue fee (or reissue) | |
| 143 | 470 | 243 | 235 | Design issue fee | |
| 144 | 630 | 244 | 315 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 240 | 126 | 240 | Submission of Informational Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per Property (times number of properties) | |
| 146 | 750 | 246 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 750 | 249 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 750 | 279 | 375 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

* Reduced by Basic Filing Fee paid

SUBTOTAL (3) **(\$)**

0.00

SUBMITTED BY St.Onge Steward Johnston & Reens LLC

Complete (if applicable)

| | | | | | |
|-------------------|-------------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print Type) | Todd M. Oberdick | Registration No. (Attorney/Agent) | 44,268 | Telephone | 203 324-6155 |
| Signature | <i>Todd M. Oberdick</i> | | | Date | 7/1/2003 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT
03871-P0001B SHL/TMO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|-----------------------|--|
| Applicant | John A. Rotondo |
| Serial No. | Pending |
| Title of Application: | Remote Thermostat For Room Air Conditioner |

Assistant Commissioner for Patents
Washington, DC 20231

Cover Sheet For Four Sheets Of Drawings

Attorneys for Applicant
Stanley H. Lieberstein, Registration No. 22,400
Todd M. Oberdick, Registration No. 44,268
ST.ONGE STEWARD JOHNSTON & REENS LLC
986 Bedford Street
Stamford, CT 06905-5619
203 324-6155